UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

UNITED STATES SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

ALPINE SECURITIES CORPORATION,

Defendant.

Civil No. 1:17-CV-04179-DLC

Honorable Judge Denise L. Cote Magistrate Judge Ronald L. Ellis

DECLARATION OF MARANDA FRITZ IN SUPPORT OF ALPINE'S MEMORANDUM IN OPPOSITION TO THE SEC'S MOTION FOR REMEDIES

- I, Maranda Fritz, pursuant to 28 U.S.C. § 1746, declare under penalty of perjury under the laws of the United States of America that the following statements are true and correct:
- 1. My name is Maranda Fritz and I am a resident of the State of New York, am over 18 years of age, and make the statements herein based on my personal knowledge.
- 2. I am legal counsel for Alpine Securities Corporation ("Alpine"), the above-named Defendant in its action against Plaintiff United States Securities and Exchange Commission ("SEC").
- 3. Alpine has submitted a set of exhibits along with its Memorandum in Opposition to the SEC's Motion for Remedies.
- 4. Exhibit 1 is a true and correct copy of a declaration executed by David Brant, Alpine's CFO.
 - 5. Exhibit 2 is a true and correct copy of Alma Angotti's deposition transcript.
- 6. Exhibit 3 is a true and correct copy of Alpine's Rule 30(b)(6) deposition transcript taken in this action.

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7. Exhibit 4 is a true and correct copy of Randal Jones' deposition transcript.

8. Exhibit 5 is a true and correct copy of Todd Groskreutz's OTR transcript.

9. Exhibit 6 is a true and correct copy of Leia Farmer's deposition transcript.

10. Exhibit 7 is a true and correct copy of Erin Green's deposition transcript.

11. Exhibit 8 is a true and correct copy of a declaration executed by Erin Zipprich.

12. Exhibit 9 is a true and correct copy of a chart and graph submitted with the SEC's

expert report.

13.

Exhibit 10 is a true and correct copy of a supplemental declaration executed by

Randal Jones.

14. Exhibit 11 is a true and correct copy of a Declaration executed by Christopher

Doubek, Alpine's CEO.

WHEREFORE, I declare under penalty of perjury that the foregoing is true and correct.

DATED this 10th day of June, 2019.

/s/ Maranda Fritz

Maranda Fritz

[electronically signed with permission]